




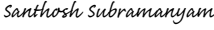
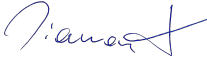
# Pre-Validation Summary Report

Version: 1.0

**Document Details:**

<b>Release Name</b>	SPORIFY
<b>Release Version</b>	2026.1.0.0

**Approval Details:**

<b>Name</b>	<b>Signature</b>
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### Revision Details

Version	Date of release	Affected Sections	Reason for Change
0.1	13-MAY-2026	All	Initial Draft
1.0	15-MAY-2026	NA	Approved

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## 1. Introduction and Scope

Pre-Validation Summary Report (PVSR) is prepared to summarize the Pre-validation activities performed in the Validation environment of SPORIFY 2026.1.0.0 on Cloud platform.

This report provides information or references to information, which demonstrates that the system is completely Pre-Validated as per ArisGlobal's Pre-Validation process.

This report also refers to the procedures and documents that are currently in place for the operation and support of SPORIFY 2026.1.0.0 to ensure that it is used and maintained in a validated state.

## 2. Summary

This section describes the validation activities that were performed during SPORIFY 2026.1.0.0 in the Validation Environment.

## 3. References

The following documents that were referred to and prepared as part of SPORIFY 2026.1.0.0 Pre-Validation:

Sl.No	Document Name	Version No
1.	AG_SPOR_2026.1.0.0_Pre-Validation Plan	1.0
2.	AG_SPOR_URD	4.0
3.	AG_SPOR_RA	4.0
4.	AG_SPOR_TM	1.0

Note: For the executed IQ/ OQ /test scripts, refer to Section 4

## 4. Validation environment setup and qualification activities

Upon the approval of Pre-Validation Plan, the implementation and validation activities were performed to set up the validation environment for SPORIFY 2026.1.0.0 to perform necessary qualification activities:

Following is the overall phase-wise execution summary:

Phases	No. of Test Scripts executed	No. of execution Approved	No. of execution/run Rejected
<b>IQ</b>	1	1	0
<b>OQ</b>	40	40	0
<b>Total</b>	41	41	0

Sl. No.	Test Script Name (Version No.)	Build ID	Run Status	Approval Status	Defect ID
<b>Installation Qualification</b>					
1.	AG_SPOR_2026.1.0.0_IQ (Version 1.0)	20260423-2	Pass	Approved	NA
<b>Operational Qualification Execution</b>					
1.	AG_SPOR_2026.1.0.0_OQ_RoleManagement (Version 1.0)	20260423-2	Pass	Approved	NA
2.	AG_SPOR_2026.1.0.0_OQ_UserManagement (Version 1.0)	20260423-2	Pass	Approved	NA
3.	AG_SPOR_2026.1.0.0_OQ_ChangeReasons (Version 1.0)	20260423-2	Pass	Approved	NA
4.	AG_SPOR_2026.1.0.0_OQ_ResolutionStatus (Version 1.0)	20260423-2	Pass	Approved	NA
5.	AG_SPOR_2026.1.0.0_OQ_SystemManagement (Version 1.0)	20260423-2	Pass	Approved	NA
6.	AG_SPOR_2026.1.0.0_OQ_TagsManagement (Version 1.0)	20260423-2	Pass	Approved	NA
7.	AG_SPOR_2026.1.0.0_OQ_AuthenticationLogs (Version 1.0)	20260423-2	Pass	Approved	NA
8.	AG_SPOR_2026.1.0.0_OQ_Organisations_TargetLists (Version 1.0)	20260423-2	Pass	Approved	NA
9.	AG_SPOR_2026.1.0.0_OQ_Organisations_SourceLists (Version 1.0)	20260423-2	Pass	Approved	NA
10.	AG_SPOR_2026.1.0.0_OQ_Organisations_TargetDetails (Version 1.0)	20260423-2	Pass	Approved	NA
11.	AG_SPOR_2026.1.0.0_OQ_UserSettings (Version 1.0)	20260423-2	Pass	Approved	NA
12.	AG_SPOR_2026.1.0.0_OQ_Referentials_Overview (Version 1.0)	20260423-2	Pass	Approved	NA
13.	AG_SPOR_2026.1.0.0_OQ_Substances_SourceLists (Version 1.0)	20260423-2	Pass	Approved	NA
14.	AG_SPOR_2026.1.0.0_OQ_Referentials_SourceLists (Version 1.0)	20260423-2	Pass	Approved	NA
15.	AG_SPOR_2026.1.0.0_OQ_Referentials_Target_Term View (Version 1.0)	20260423-2	Pass	Approved	NA
16.	AG_SPOR_2026.1.0.0_OQ_Referentials_Target_ListView (Version 1.0)	20260423-2	Pass	Approved	NA
17.	AG_SPOR_2026.1.0.0_OQ_Audit_Logs (Version 1.0)	20260423-2	Pass	Approved	NA
18.	AG_SPOR_2026.1.0.0_OQ_Change_Logs (Version 1.0)	20260423-2	Pass	Approved	NA
19.	AG_SPOR_2026.1.0.0_OQ_Substances_TargetLists (Version 1.0)	20260423-2	Pass	Approved	NA
20.	AG_SPOR_2026.1.0.0_OQ_VeevaSync (Version 1.0)	20260423-2	Pass	Approved	NA
21.	AG_SPOR_2026.1.0.0_OQ_DataGovernance_Management (Version 1.0)	20260423-2	Pass	Approved	NA
22.	AG_SPOR_2026.1.0.0_OQ_Security_Management (Version 1.0)	20260423-2	Pass	Approved	NA
23.	AG_SPOR_2026.1.0.0_OQ_MatchSettings_Management (Version 1.0)	20260423-2	Pass	Approved	NA

Sl. No.	Test Script Name (Version No.)	Build ID	Run Status	Approval Status	Defect ID
24.	AG_SPOR_2026.1.0.0_OQ_Referentials_HistoryComparison (Version 1.0)	20260423-2	Pass	Approved	NA
25.	AG_SPOR_2026.1.0.0_OQ_Referentials_Hierarchical (Version 1.0)	20260423-2	Pass	Approved	NA
26.	AG_SPOR_2026.1.0.0_OQ_DataGovernance_Organisations_Target (Version 1.0)	20260423-2	Pass	Approved	NA
27.	AG_SPOR_2026.1.0.0_OQ_DataGovernance_Organisations_Source (Version 1.0)	20260423-2	Fail	Approved	SPRFY-4941
28.	AG_SPOR_2026.1.0.0_OQ_DataGovernance_Referentials_Target (Version 1.0)	20260423-2	Pass	Approved	NA
29.	AG_SPOR_2026.1.0.0_OQ_DataGovernance_Referentials_Source (Version 1.0)	20260423-2	Pass	Approved	NA
30.	AG_SPOR_2026.1.0.0_OQ_DataGovernance_Substance_Source (Version 1.0)	20260423-2	Pass	Approved	NA
31.	AG_SPOR_2026.1.0.0_OQ_DataGovernance_Substance_Target (Version 1.0)	20260423-2	Pass	Approved	NA
32.	AG_SPOR_2026.1.0.0_OQ_DataGovernance_Notifications (Version 1.0)	20260423-2	Pass	Approved	NA
33.	AG_SPOR_2026.1.0.0_OQ_OrganizationUnits_Management (Version 1.0)	20260423-2	Pass	Approved	NA
34.	AG_SPOR_2026.1.0.0_OQ_Products_Overview (Version 1.0)	20260423-2	Pass	Approved	NA
35.	AG_SPOR_2026.1.0.0_OQ_Products_Sourcelist (Version 1.0)	20260423-2	Pass	Approved	NA
36.	AG_SPOR_2026.1.0.0_OQ_Products_Sourcelists_Actions (Version 1.0)	20260423-2	Pass	Approved	NA
37.	AG_SPOR_2026.1.0.0_OQ_Products_TargetLists (Version 1.0)	20260423-2	Pass	Approved	NA
38.	AG_SPOR_2026.1.0.0_OQ_Products_Comparison (Version 1.0)	20260423-2	Pass	Approved	NA
39.	AG_SPOR_2026.1.0.0_OQ_Products_Comparison_Target (Version 1.0)	20260423-2	Pass	Approved	NA
40.	AG_SPOR_2026.1.0.0_OQ_Admin_CFR_Part11 (Version 1.0)	20260423-2	Pass	Approved	NA

Each qualification phase was successfully completed as per the Pre-Validation plan. For more details, refer the following report and Traceability Matrix.

- AG\_SPOR\_2026.1.0.0\_PVSR (Version 1.0)
- TM - AG\_SPOR\_TM (Version 1.0)

## 5. Assumptions, Dependencies and Deviations

Assumptions	None
Dependencies	None
Deviations	<ul style="list-style-type: none"> <li>• Due to unavailability of Swathi T - Pre-Validation Manager, Arun Dominick will act as Pre-Validation Manager for few documents.</li> <li>• OQ name 'AG_SPOR_2026.1.0.0_OQ_Products_Sourcelist' is wrongly updated in Pre-Validation plan as 'AG_SPOR_2026.1.0.0_OQ_Products_Sourcelists'.</li> </ul>

	<ul style="list-style-type: none"> <li>• 'FR_MISC_002' - The related steps cannot be executed due to non-availability of environment to initiate synchronization. This will be taken up in future release as there is no major impact on business transactions.</li> <li>• 'FR_ORG_SL_022' - The related step cannot be executed due to non-availability of multiple exact Organisation Name records in SPOR which shall set the Resolution Status to Multiple Choice in Pre-Validation environment. This will be taken up in future release as there is no major impact on business transactions.</li> </ul>
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## 6. Phase Status

The following is the phase-wise status:

- **IQ execution**

IQ script as planned in Pre-Validation Plan document was executed without any functional issues.

- IQ script 'AG\_SPOR\_2026.1.0.0\_IQ' was executed as part of this release.
- Executed IQ script was reviewed and approved.

- **OQ Execution**

- 40 OQ scripts were executed after 'AG\_SPOR\_2026.1.0.0\_IQ' as per the Pre-validation plan
- Out of 40 OQ scripts executed, 39 scripts passed successfully. One OQ script 'AG\_SPOR\_2026.1.0.0\_OQ\_DataGovernance\_Organisations\_Source' was failed, and defect SPRFY-4941 was logged. This step is not failing any of the URD # and its impacting only navigation related hence this will not be tracked as failure in Traceability matrix.
- Executed OQ script(s) were reviewed and approved.
- All the executed OQ test scripts were reviewed and approved.
- Overall, 1 application issue was reported in SPORIFY 2026.1.0.0 release and it's in Open status.
- The signatures on all the documents ensure that they are approved and meet the business specifications.

The conclusion from the above activities and summary are SPORIFY 2026.1.0.0 is validated on the Validation environment and all the documents are maintained as proof of completion. There are no open critical defects from the pre-validation phase that impact the business. Signing off this Pre-Validation Summary Report concludes that the System in Validation environment as Approved and meets the intended use.

## 7. Details of Issues

### Defect Summary:

Release version in which the defects were logged	Total defects logged in the release	Release Version in which the defects were fixed	Count of Open defects
		2026.1.0.0	
2026.1.0.0	1	0	1
<b>Total open defects in current release (SPORIFY 2026.1.0.0):</b>			1

### Open Defect details:

The below mentioned functional defect reported in SPORIFY 2026.1.0.0 is in Open Status.

SI.No.	Defect ID	Defect Severity	Script Name	Description	System Impact	Resolution	Status
1.	SPRFY-4941	Severity-3	AG_SPOR_2026.1.0.0_OQ_Data Governance_Organisations_Source  Step No: 40	Location Id is not available in Notification popup	Location Id is not available in Notification popup for ready to review governance stage and user cannot navigate to location details screen on clicking the notification link.	This is not a blocker for user to complete governance as user can navigate to source list and complete governance for location or user can directly navigate to location details screen and complete the governance. This issue is identified as low priority for business transaction and will be fixed in the future release.	Open

## 8. Definitions, Acronyms, and Abbreviations

All definitions, abbreviations, and acronyms required to interpret this document are listed below.

Term	Description
AG	Aris Global
IQ	Installation Qualification
OQ	Operational Qualification
PVSR	Pre-Validation Summary Report
SPOR	Substances Products Organisations Referentials
System	SPORIFY 2026.1.0.0
TM	Traceability Matrix
URD	User Requirements Document
NA	Not Applicable

### Appendix A: List of Validation deliverables

Below is the list of validation deliverables prepared as part of SPORIFY 2026.1.0.0.

#### List of Standard Documents

SI. No.	Deliverable Name	Version
1.	AG_SPOR_2026.1.0.0_Pre-Validation Plan	1.0
2.	AG_SPOR_URD	4.0
3.	AG_SPOR_RA	4.0
4.	AG_SPOR_TM	1.0
5.	AG_SPOR_2026.1.0.0_PVSR	1.0

#### List of IQ Phase deliverables

SI. No.	Deliverable Name	Version
1.	AG_SPOR_2026.1.0.0_IQ	1.0

## List of OQ Phase deliverables

Sl. No.	Deliverable Name	Version
1.	AG_SPOR_2026.1.0.0_OQ_RoleManagement	1.0
2.	AG_SPOR_2026.1.0.0_OQ_UserManagement	1.0
3.	AG_SPOR_2026.1.0.0_OQ_ChangeReasons	1.0
4.	AG_SPOR_2026.1.0.0_OQ_ResolutionStatus	1.0
5.	AG_SPOR_2026.1.0.0_OQ_SystemManagement	1.0
6.	AG_SPOR_2026.1.0.0_OQ_TagsManagement	1.0
7.	AG_SPOR_2026.1.0.0_OQ_AuthenticationLogs	1.0
8.	AG_SPOR_2026.1.0.0_OQ_Organisations_TargetLists	1.0
9.	AG_SPOR_2026.1.0.0_OQ_Organisations_SourceLists	1.0
10.	AG_SPOR_2026.1.0.0_OQ_Organisations_TargetDetails	1.0
11.	AG_SPOR_2026.1.0.0_OQ_UserSettings	1.0
12.	AG_SPOR_2026.1.0.0_OQ_Referentials_Overview	1.0
13.	AG_SPOR_2026.1.0.0_OQ_Substances_SourceLists	1.0
14.	AG_SPOR_2026.1.0.0_OQ_Referentials_SourceLists	1.0
15.	AG_SPOR_2026.1.0.0_OQ_Referentials_Target_TermView	1.0
16.	AG_SPOR_2026.1.0.0_OQ_Referentials_Target_ListView	1.0
17.	AG_SPOR_2026.1.0.0_OQ_Audit_Logs	1.0
18.	AG_SPOR_2026.1.0.0_OQ_Change_Logs	1.0
19.	AG_SPOR_2026.1.0.0_OQ_Substances_TargetLists	1.0
20.	AG_SPOR_2026.1.0.0_OQ_VeevaSync	1.0
21.	AG_SPOR_2026.1.0.0_OQ_DataGovernance_Management	1.0
22.	AG_SPOR_2026.1.0.0_OQ_Security_Management	1.0
23.	AG_SPOR_2026.1.0.0_OQ_MatchSettings_Management	1.0
24.	AG_SPOR_2026.1.0.0_OQ_Referentials_HistoryComparison	1.0
25.	AG_SPOR_2026.1.0.0_OQ_Referentials_Hierarchical	1.0
26.	AG_SPOR_2026.1.0.0_OQ_DataGovernance_Organisations_Target	1.0
27.	AG_SPOR_2026.1.0.0_OQ_DataGovernance_Organisations_Source	1.0

<b>Sl. No.</b>	<b>Deliverable Name</b>	<b>Version</b>
28.	AG_SPOR_2026.1.0.0_OQ_DataGovernance_Referentials_Target	1.0
29.	AG_SPOR_2026.1.0.0_OQ_DataGovernance_Referentials_Source	1.0
30.	AG_SPOR_2026.1.0.0_OQ_DataGovernance_Substance_Source	1.0
31.	AG_SPOR_2026.1.0.0_OQ_DataGovernance_Substance_Target	1.0
32.	AG_SPOR_2026.1.0.0_OQ_DataGovernance_Notifications	1.0
33.	AG_SPOR_2026.1.0.0_OQ_OrganizationUnits_Management	1.0
34.	AG_SPOR_2026.1.0.0_OQ_Products_Overview	1.0
35.	AG_SPOR_2026.1.0.0_OQ_Products_SourceList	1.0
36.	AG_SPOR_2026.1.0.0_OQ_Products_SourceLists_Actions	1.0
37.	AG_SPOR_2026.1.0.0_OQ_Products_TargetLists	1.0
38.	AG_SPOR_2026.1.0.0_OQ_Products_Comparison	1.0
39.	AG_SPOR_2026.1.0.0_OQ_Products_Comparison_Target	1.0
40.	AG_SPOR_2026.1.0.0_OQ_Admin_CFR_Part11	1.0










# AG\_SPOR\_2026.1.0.0\_PVSR

Final Audit Report


2026-05-15

Created:	2026-05-15 (India Standard Time)
By:	Santhosh Subramanyam (Santhosh.Subramanyam@arisglobal.com)
Status:	Signed
Transaction ID:	CBJCHBCAABAAhW8xLjJ0_plqAi6OvOt8PLfrGHTUkCmP

## "AG\_SPOR\_2026.1.0.0\_PVSR" History

-  Document created by Santhosh Subramanyam (Santhosh.Subramanyam@arisglobal.com)  
2026-05-15 - 10:31:28 AM GMT+5.5
-  Document emailed to clive.rodrigues@arisglobal.com for signature  
2026-05-15 - 10:33:24 AM GMT+5.5
-  Email viewed by clive.rodrigues@arisglobal.com  
2026-05-15 - 10:34:57 AM GMT+5.5
-  Signer clive.rodrigues@arisglobal.com entered name at signing as Clive Don Rodrigues  
2026-05-15 - 10:39:20 AM GMT+5.5
-  clive.rodrigues@arisglobal.com authenticated with Email OTP by verifying one-time code sent via email  
Challenge: The user completed the signing ceremony.  
2026-05-15 - 10:39:21 AM GMT+5.5
-  Document e-signed by Clive Don Rodrigues (clive.rodrigues@arisglobal.com)  
Signing reason: I am the author of this document  
Signature Date: 2026-05-15 - 10:39:22 AM GMT+5.5 - Time Source: server - Signature Appearance Selected: TYPE
-  Document emailed to Santhosh Subramanyam (Santhosh.Subramanyam@arisglobal.com) for signature  
2026-05-15 - 10:39:26 AM GMT+5.5
-  Email viewed by Santhosh Subramanyam (Santhosh.Subramanyam@arisglobal.com)  
2026-05-15 - 10:56:47 AM GMT+5.5
-  Santhosh Subramanyam (Santhosh.Subramanyam@arisglobal.com) authenticated with Email OTP by verifying one-time code sent via email  
Challenge: The user completed the signing ceremony.  
2026-05-15 - 10:57:59 AM GMT+5.5
-  Document e-signed by Santhosh Subramanyam (Santhosh.Subramanyam@arisglobal.com)  
Signing reason: I have reviewed this document


Signature Date: 2026-05-15 - 10:58:00 AM GMT+5.5 - Time Source: server - Signature Appearance Selected: TYPE

 Document emailed to ndiamant@arisglobal.com for signature

2026-05-15 - 10:58:02 AM GMT+5.5


 Email viewed by ndiamant@arisglobal.com

2026-05-15 - 11:59:13 AM GMT+5.5

 ndiamant@arisglobal.com authenticated with Email OTP by verifying one-time code sent via email

Challenge: The user completed the signing ceremony.

2026-05-15 - 12:08:05 PM GMT+5.5


 Signer ndiamant@arisglobal.com entered name at signing as Noel Diamant

2026-05-15 - 12:08:06 PM GMT+5.5

 Document e-signed by Noel Diamant (ndiamant@arisglobal.com)

Signing reason: I have reviewed this document


Signature Date: 2026-05-15 - 12:08:08 PM GMT+5.5 - Time Source: server - Signature Appearance Selected: IMAGE

 Document emailed to Arun Dominick (arun.dominick@arisglobal.com) for signature

2026-05-15 - 12:08:12 PM GMT+5.5


 Email viewed by Arun Dominick (arun.dominick@arisglobal.com)

2026-05-15 - 1:26:38 PM GMT+5.5

 Arun Dominick (arun.dominick@arisglobal.com) authenticated with Email OTP by verifying one-time code sent via email

Challenge: The user completed the signing ceremony.

2026-05-15 - 1:27:43 PM GMT+5.5

 Document e-signed by Arun Dominick (arun.dominick@arisglobal.com)

Signing reason: I approve this document


Signature Date: 2026-05-15 - 1:27:43 PM GMT+5.5 - Time Source: server - Signature Appearance Selected: TYPE

 Document emailed to vijaykumar.kadappagol@arisglobal.com for signature


2026-05-15 - 1:27:46 PM GMT+5.5

 Email viewed by vijaykumar.kadappagol@arisglobal.com

2026-05-15 - 2:01:32 PM GMT+5.5

 Signer vijaykumar.kadappagol@arisglobal.com entered name at signing as Vijaykumar Kadappagol

2026-05-15 - 2:03:23 PM GMT+5.5

 vijaykumar.kadappagol@arisglobal.com authenticated with Email OTP by verifying one-time code sent via email

Challenge: The user completed the signing ceremony.

2026-05-15 - 2:03:24 PM GMT+5.5

 Document e-signed by Vijaykumar Kadappagol (vijaykumar.kadappagol@arisglobal.com)

Signing reason: I approve this document

Signature Date: 2026-05-15 - 2:03:25 PM GMT+5.5 - Time Source: server - Signature Appearance Selected: TYPE

 Agreement completed.

2026-05-15 - 2:03:25 PM GMT+5.5