

Upgrade Summary Report

Version: 1.0

Project	Sporify V2 Multi-Tenant	Document Type	Upgrade Summary Report
Document Name	AG_SPOR_2026.1.1.0_USR	Document Version	1.0

AG_SPOR_2026.1.1.0_USR

Upgrade Summary Report

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Document History

Action	Reason for Change	Document Version	Date (DD-MMM-YYYY)
Initial Document	To summarize the upgrade activities of Sporify V2 2026.1.1.0 in validation environment	0.1	29-June-2026
Approved Document	Approved Version	1.0	08-Jul-2026

Note: The document is effective from the date of Approval.

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1. Definitions, Acronyms, and Abbreviations

All definitions, abbreviations, and acronyms required to properly interpret this document are listed below:

Term	Description
<i>AG</i>	<i>ArisGlobal</i>
<i>IQ</i>	<i>Installation Qualification</i>
<i>SPOR</i>	<i>Substance Product Organisation Referentials</i>
<i>MVP</i>	<i>Master Validation Plan</i>
<i>OQ</i>	<i>Operational Qualification</i>
<i>RA</i>	<i>Risk Analysis</i>
<i>CM</i>	<i>Change Management</i>
<i>System</i>	<i>Sporify V2 2026.1.1.0</i>
<i>S. No</i>	<i>Serial Number</i>
<i>USR</i>	<i>Upgrade Summary Report</i>

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2. Release Statement

The Sporify V2 2026.1.1.0 system is deemed validated on Sporify V2 Multi-Tenant Validation environment. The following sections describe in brief, the summary of validation activities carried out during each qualification phase.

3. Document Purpose

The purpose of this Upgrade Summary Report is to summarize the activities carried out in validation environment, detail the list of validation deliverables produced, summarize the testing results and anomalies occurred with respect to the approved Master Validation Plan[2] and CM Ticket [3].

4. Overall Execution Summary

The rigor of validation was derived based on Risk Management Guidelines[4] and the table below provides the overall summary of risk-based qualification phases:

Table 4: Overall Execution Summary

Phases	No. of Test Scripts executed	No. of execution Passed	No. of execution/run Failed
Sporify V2 2026.1.1.0 (VAL)			
IQ	1	1	0
OQ	1	1	0
Total	2	2	0

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Table 4-1: IQ Test Scripts

S. No.	Test Script Name (Version No.)	Run ID	Run Status	Approval Status	Defect ID
Sporify V2 2026.1.1.0 (VAL)					
1	AG_SPOR_2026.1.1.0_IQ_Deployment	14	Pass	Approved	Defect ID: 6

Table 4-2: OQ Test Scripts

S. No.	Test Script Name (Version No.)	Run ID	Run Status	Approval Status	Defect ID
Sporify V2 2026.1.1.0 (VAL)					
1	AG_SPOR_2026.1.1.0_OQ_Swagger	15	Pass	Approved	Defect ID: 7

- All the test cases were reviewed and approved.

5. Incidents or Defects Summary

The table below lists the overall summary of defects identified during validation phase:

Phases	Severity of Defects: Sporify V2 2026.1.1.0 (VAL)		
	Critical (High)	Major (Medium)	Minor (Low)
<i>IQ</i>	0	0	1
<i>OQ</i>	0	0	1
<i>Total</i>	0	0	2

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5.1 Closed Defects

Below table lists the closed defects documented during validation phase of 2026.1.1.0.

S. No.	Defect ID	SP R ID	Severity	Problem Description	Comments
Sporify V2 2026.1.1.0 (VAL)					
1	Defect ID: 6	NA	Low	Post execution, During Quality review, below Test executor errors identified in the IQ AG_SPOR_2026.1.1.0_IQ_Deployment (Run ID: 14) Step 9: Executor missed to capture the evidence. Step 16: Executor Incorrectly annotated the Actual Results.	Proposed Corrective action: For the identified technical errors, defect shall be raised. Corrective Actions: Defect is raised and Actual values to be considered is given below. Step 9: Evidence captured as per the expected results and attached ("Step9.png") in this defect. Step 16: Actual Results should be considered as 'https://sporify-val-api.sporify.eu/pms/api/fhircomparision/trigger'. Preventive action: Executor should capture the correct variable data/annotation/evidence as per the procedure steps/expected results during execution as objective evidence and technical reviewer should ensure that for successful execution, easy traceability, and unambiguous results interpretation.
2	Defect ID: 7	NA	Low	Post execution, During Quality review, below Script errors identified in the OQ AG_SPOR_2026.1.1.0_OQ_Swagger (Run ID: 15) Step 3: Incorrectly authored the Test Data. Step 15: Incorrectly authored the Test Data.	Proposed Corrective action: For the identified script errors, defect shall be raised, script will be up-versioned for the script errors as this script will be used for future execution. Corrective Action: Defect is raised and Actual values to be considered is given below. Step 3: Test data should be considered as

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S. No.	Defect ID	SP R ID	Severity	Problem Description	Comments
Sporify V2 2026.1.1.0 (VAL)					
					'Sporify API V2 screen is displayed. Application version and build number are verified as in test data column' for this executed Run ID:9. Step 15: Test data should be considered as 'Sporify Log in page is displayed' for this executed Run ID:9. Preventive action: Author should ensure that there are no Script errors and sufficient information are provided for script execution while drafting the OQ in future and technical reviewer should ensure that for successful execution, easy traceability, and unambiguous results interpretation.

6. Scope Changes

There were no scope changes identified in the Validation Environment

7. Deviations

There were no deviations identified in the Validation environment

8. Training

All individuals responsible for executing these qualification scripts were trained on the processes and plans listed in the References section.

9. Document Management

- All the documents were reviewed and approved by appropriate stakeholders.
- Approved documents are maintained in ArisGlobal SharePoint portal.

[SporifyPortal - Documents - SPORIFY 2026.1.0.0 - All Documents](#)

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10. References

S. No.	Document Name
[1]	Computer System Validation
[2]	AG_SPOR_2026.1.x_MVP
[3]	CM-563100
[4]	Guidelines - Risk Management

Appendix A: List of Validation deliverables

Below is the list of validation deliverables prepared as part of Sporify V2 2026.1.1.0 Validation activities in the Validation Environment.

List of Standard Documents

S. No.	Deliverable Name	Version
1.	AG_SPOR_2026.1.x_MVP	1.0
2.	CM-563100	1.0
3.	AG_SPOR_2026.1.1.0_USR	1.0

List of IQ Phase deliverables – Validation Environment

S. No.	Deliverable Name	Version
1.	AG_SPOR_2026.1.1.0_IQ_Deployment	1.0

List of OQ Phase deliverables

S.No.	Deliverable Name	Version
1.	AG_SPOR_2026.1.1.0_OQ_Swagger	1.0

AG_SPOR_2026.1.1.0_USR (v1.0)

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